

**SUBCONTRACTOR/SUPPLIER SIZE AND STATUS CERTIFICATION**

NOVA GROUP, INC.

185 Devlin Road, P. O. Box 4050, Napa, CA 94558

Company Name: \_\_\_\_\_ DUNS No: (required) \_\_\_\_\_

DBA: \_\_\_\_\_ CAGE Code: (required) \_\_\_\_\_

Address: \_\_\_\_\_ NAICS Code<sup>1</sup>: (required) \_\_\_\_\_  
for work being contracted

City, State, Zip Code: \_\_\_\_\_ Year Business Established: \_\_\_\_\_

email: \_\_\_\_\_ Phone #: \_\_\_\_\_ FAX #: \_\_\_\_\_

List All Affiliates (If None, so state): \_\_\_\_\_  
(Attach additional pages as needed)

**SBA Size Standards for Construction Average Annual Receipts**

- \$36.5 million or less NAICS Subsector 237, Construction of Buildings; Heavy and Civil Engineering Construction
- \$27.5 million or less NAICS Subsector 237, Dredging and Surface Cleanup Activities
- \$15 million or less NAICS Subsector 238, Specialty Trade Contractors

(NOTE: SBA'S SIZE STANDARDS CHANGE AND THESE FIGURES SHOULD BE VERIFIED AT THE SBA'S WEBSITE. WHILE BELIEVED TO BE ACCURATE, CONTRACTOR DOES NOT WARRANT THESE AMOUNTS) See: [WWW.SBA.GOV/CONTENT/SMALL-BUSINESS-SIZE-STANDARDS](http://WWW.SBA.GOV/CONTENT/SMALL-BUSINESS-SIZE-STANDARDS)

**Small Business Definition:** The Small Business Act states that a small business concern is "one that is independently owned and operated and which is not dominant in its field of operation." The law also states that in determining what constitutes a small business, the definition will vary from industry to industry to reflect industry differences accurately. SBA's Small Business Size Regulations implement the Small Business Act. SBA has also established a table of size standards, matched to North American Industry Classification System (NAICS) industries and considers "Affiliates" of the contractor in determining size. See 13 C.F.R. Part 121.

**Small Disadvantaged Business:** A small disadvantaged business is a small business which is at least 51% unconditionally owned by one or more socially and economically disadvantaged individuals and whose management and daily business operations are controlled by one or more socially and economically disadvantaged individuals.

In accordance with Section 3 of the Small Business Act (15 U.S.C. 632) and Section 1341 of the Small Business Jobs Act of 2010, Subcontractor hereby certifies that it:

(You must indicate either Large Business or Small Business, then check any others that apply below.)

COMPANY SIZE: \_\_\_\_\_ Large Business (including non-profit)  
If Subcontractor is a Large Business, a Subcontracting Plan may be required. See FAR 52.219-9  
\_\_\_\_\_ Small Business  
If you are self-certifying as a Small Business, list NAICS Code<sup>1</sup> for work being contracted: \_\_\_\_\_

**BUSINESS CLASSIFICATION (Check All Applicable)**

- \_\_\_\_\_ Small Disadvantaged Business  
If you are self-certifying as a Small Disadvantaged Business, list Primary NAICS Code1: \_\_\_\_\_
- \_\_\_\_\_ Certified by SBA as a HUBZone Small Business
- \_\_\_\_\_ Women Owned Small Business
- \_\_\_\_\_ Veteran Owned Small Business
- \_\_\_\_\_ Service Disabled Veteran Owned Small Business
- \_\_\_\_\_ Historically Black College / University or Minority Institution
- \_\_\_\_\_ Alaskan Native Corporation
- \_\_\_\_\_ Indian Tribe
- \_\_\_\_\_ Other: Specify \_\_\_\_\_

Notice: Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to sections 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of the Federal law that specifically references section 8(d) for a definition of program eligibility, shall: (1) be punished by imposition of fine, imprisonment, or both; (2) be subject to administrative remedies, including suspension and debarment; and (3) be ineligible for participation in programs conducted under the authority of the Act. (End of provision)

\_\_\_\_\_  
**Signature of Company Officer** **Printed Name/Title** **Date**

**The individual signing this Certification on behalf of the Subcontractor hereby certifies that the information set forth above is accurate, understands that the Contractor will rely on this certification, and that it is authorized to sign this Certification on behalf of the Subcontractor.**

INTERNAL USE ONLY  
HUBZone Status has been verified in SAM.gov (System for Award Management) and/or the SBA Dynamic Small Business Search Database as of \_\_\_\_\_

<sup>1</sup> NAICS codes are needed for the Summary Subcontract Report breakout report (by 3-digit NAICS subsector) that the prime contractor is required to submit to the Government annually pursuant to the Federal Acquisition Regulation (FAR) clause at 52.219-9(l)(2)(iii)  
rev 2014.07.14